



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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Bib Data Sheet

CONFIRMATION NO. 9460

SERIAL NUMBER 09/870,845	FILING DATE 05/31/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. 10209-008
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APPLICANTS
Henri Waelbroeck, Scarsdale, NY;
Fred J. Federspiel, Larchmont, NY;
James J. Angel, Arlington, VA;

**** CONTINUING DATA *******
THIS APPLICATION IS A CIP OF 09/750,768 12/29/2000
WHICH IS A CIP OF 09/585,049 06/01/2000

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED
**** 06/14/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY NY	SHEETS DRAWING 19	TOTAL CLAIMS 71	INDEPENDENT CLAIMS 11
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35 USC 119 (a-d) conditions met
☐ yes ☒ no ☐ Met after Allowance

Verified and Acknowledged
Examiner's Signature: *[Signature]* Initials: *JNV*

ADDRESS
20583

TITLE
Method for directing and executing certified trading interests

FILING FEE RECEIVED 2398	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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